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Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE STATEMENT

Examining Group 1617
Patent Application

Docket No. UTR-104D1

Serial No. 10/066,057

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Unassigned

Art Unit

1617

Applicant(s)

Michael B. Zemel, Hang Shi, Paula C. Zemel

Serial No.

10/066,057

Filed

January 31, 2002

Conf. No.

8306

For

MATERIALS AND METHODS FOR THE TREATMENT OR PREVENTION OF

OBESITY

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicants would like to bring to the attention of the Examiner, the references cited in the following patent application:

U.S. Serial No. 09/654,357, filed September 1, 2000.

37 C.F.R. § 1.98(d) states that a copy of any patent, publication, or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied on for an earlier filing date under 35 U.S.C. § 120.

As copies of the references cited on the attached form PTO-1449, can be found in patent application Serial Number 09/654,357, filed September 1, 2000, copies of those references are not provided herewith. The subject application, Serial No. 10/066,057, claims the benefit under 35 U.S.C. § 120 of the filing date of patent application Serial No. 09/654,357. Accordingly, Applicants respectfully request that the copies of references supplied in the Information Disclosure Statement of the 09/654,357 application, as well as references cited during prosecution thereof, be made of record in this application.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98 are met by the foregoing statements.

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

Patent Attorney

Registration No. 45,332

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: Saliwanchik, Lloyd & Saliwanchik

A Professional Association 2421 N.W. 41st Street, Suite A-1 Gainesville, FL 32606-6669

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Attachment: PTO Form SB/08A (Substitute for Form 1449)



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	Substitute for form 1449B/PTO				Complete if Known		
				SCUDE	Application Number	10/066,057	
	INFORMATION DISCLOSURE				Filing Date	January 31, 2002	
	STATEMENT BY APPLICANT			ICAN I	First Named Inventor	Zemel	
	(use as many sheets as necessary)				Group Art Unit	1617	
					Examiner Name	Unassigned	
	Sheet	1	of	3	Attorney Docket Number	UTR-104D1	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	R1	BÖRJESON, M. [1976] "The Aetiology of Obesity in Children," Acta Pædiatr Scan 65:279-87		
		CARPER, JEAN [2000] "Amazing Food Facts that Astound the Experts," USA Weekend, Aug. 18-20, 2000, p.4		
	R2	CNN, "Zemel Weight Loss Story, March 30, 2000;	╁	
		And		
	R3	NATIONAL DAIRY COUNCIL, "Dairy Foods May Help Control Weight Gain," June 9, 2000, videotape	ـــــ	
	R4	COLINO, S., "Advanced Calcium, Lose Weight, avoid PMS and ward off cancer, all with one basic nutritional element," Women's Sports & Fitness, March 2000, page 56		
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	R6	FLEET, J.C. [1999] "Vitamin D. Receptors: Not Just in the Nucleus Anymore," <i>Nutrition Reviews</i> Vol. 57, No. 2, pp. 60-2		
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	R8	GIMENO, R. et al. [1997] "Cloning and Characterization of an Uncoupling Protein Homolog: A Potential Molecular Mediator of Human Thermogenesis," <i>Diabetes</i> 46:900-06		
	R9	JONES, BRYNN H., et al. [1997] "Angiotensin II Increases Lipogenesis in 3T3-L1 and Human Adipose Cells," Endocrinology, Vol. 138, No. 4, pp. 1512-19		
	R10	KIM, JUNG HAN, et al. [1996] "The Effects of Calcium Channel Blockade on Agouti-Induced Obesity", The FASEB Journal 10:1646-52		
	R11	LANGE, D., "The Calcium Diet", Allure, August 2000, page 84		
	R12	. MASLOWSKA, M. et al. [1998] "Site Specific Binding of Acylation Stimulating Protein (ASP) in Human Adipose Tissue," Int. J. Obesity 22:S108 (P50)		
	R13	McCARTHY, L., "Got Milk, Lose Weight?", Remedy Magazine, March/April 2000, page 11		

Examiner	Date
Signature	Considered

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Con	nplete if Known	
Application Number	10/066,057	
Filing Date	January 31, 2002	
First Named Inventor	Zemel	
Group Art Unit	1617	
Examiner Name	Unassigned	
Attorney Docket Number	UTR-104D1	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	R14	MOLL, P.P., et al. [1991] "The Genetic and Environmental Sources of Body Mass Index Variability: The Muscatine Ponderosity Family Study," Am. J. Hum. Genet. 49:1243-55			
	R15	MUNDELL, E.J., "Trying to Lose Weight? Calcium May Help", Reuters health report, April 17, 2000, (printed from website), Publisher: Reuters Limited			
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	R22	SHI, H. et al. [2000] "Effects of Dietary Calcium on Adipocyte Lipid Metabolism and Body Weight Regulation in Energy-Restricted Mice," FASEB J 14:555.3 (page A790)			
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	R25	SHI, H. et al. [2001] "1α, 25-Dihyròxyvitamin D₃ Modulates Human Adipocyte Metabolism via Nongenomic Action," FASEB J 15:835.6 (page A1091)			
	R26	SMITH, S, "Functional Foods Potential New Functional Qualities of Dairy Foods", NCC Newsletter, 2001, pages 34-5, vol. 3, issue 2; Publisher: Nutrition in Complementary Care			

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number 10/066,057

Filing Date January 31, 2002

First Named Inventor Zemel

Group Art Unit 1617

Examiner Name Unassigned

Attorney Docket Number UTR-104D1

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	R27	SOBEL, R.K. [2000] "Catching' the Fat Bug," U.S. News & World Report p.64			
	R28	SUMMERBELL, C.D., et al. [1998] "Randomised Controlled Trial of Novel, Simple, and Well Supervised Weight Reducing Diets in Outpatients," BMJ 317:1487-89			
	R29	UNKNOWN, "5-Step Fat-Blasting Diet," Prevention Magazine, June 2000, page 151			
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	R35	ZEMEL, M.B., et al. [2000] "Regulation of Adiposity by Dietary Calcium," The FASEB Journal Vol. 14, No. 9, pp. 1132-7			
	R36	ZEMEL, P. et al. [2000] "Increasing Dietary Calcium and Dairy Product Consumption Reduces the Relative Risk of Obesity in Humans," Obesity Research 8(1):118 (HT-04)			
	R37				
	R38				
	R39				

Examiner	Date					
Signature		sidered				

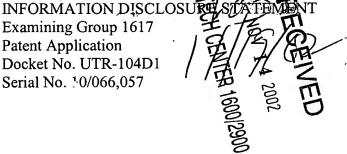
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Examining Group 1617 Patent Application Docket No. UTR-104D1 Serial No. 10/066,057



Frank C. Eisenschenk, Ph.D., Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Helen Nguyen

Art Unit

1617

Applicants

Michael B. Zemel, Hang Shi, Paula Zemel

Serial No.

10/066,057

Filed

January 31, 2002

Conf. No.

8306

For

Materials and Methods for the Treatment or Prevention of Obesity

Assistant Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Sir:

In accordance with 37 C.F.R. § 1.56, the references on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

The undersigned hereby certifies that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98 are met by the foregoing statements.

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

Patent Attorney

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Address: Saliwanchik, Lloyd & Saliwanchik

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Attachments: Form PTO/SB/08A & B (2 pages)

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